

**STUDIES ON CALCIUM CARBONATE LOADED
LIQUID CORE CAPSULES AS A pH
CONTROLLER IN LACTIC ACID
FERMENTATION**

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UNIVERSITI MALAYSIA PERLIS

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**Studies on Calcium Carbonate Loaded Liquid Core
Capsules as a pH Controller in Lactic Acid
Fermentation**

by

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LIST OF ABBREVIATIONS

| | |
|---|---------------------------------------|
| AHA | Alpha Hydroxyl Acid |
| cfu | Colony Forming Unit |
| FDA | Food and Drug Administration |
| GRAS | Generally Recognized as Safe |
| LA | Lactic Acid |
| LAB | Lactic Acid Bacteria |
| <i>L.casei</i> | <i>Lactobacillus casei</i> |
| MRD | Maximum Recovery Diluents |
| MRS | de Man Rogosa Sharpe |
| PLA | PolyLactic Acid |
| rpm | Rotation per Minutes |
| SF | Sphericity Factor |
| sp. | Species |
| w/v | Weight per Volume |
| C/S | Capsule volume to Solution volume |
| CSTR | Continuous Stirred Tank Reactor |
| Ba ² | Barium ion |
| Ba-alginate | Barium alginate |
| Ca ²⁺ | Calcium ion |
| C ₆ H ₁₀ CaO ₆ | Ca-lactate |
| Ca-alginate | Calcium Alginate |
| CaCO ₃ | Calcium Carbonate |
| CaCl ₂ | Calcium Chloride |
| CO ₂ | Carbon Dioxide |
| CMC | Carboxyl Methyl Cellulose |
| C ₆ H ₁₂ O ₆ | Glucose |
| CH ₃ CH(OH)COO- | Lactate Ion |
| C ₃ H ₆ O ₃ | Lactic Acid (2-hydroxypropionic acid) |
| O ₂ | Oxygen |
| NaCl | Sodium Chloride |
| H ₂ O | Water |
| HCl | Hydrochloric Acid |
| H ₂ SO ₄ | Sulphuric Acid |
| NH ₃ | Nitrite Acid |
| M | Molar |
| KCl | Potassium Chloride |
| X | Viable cell concentration |

LIST OF SYMBOLS

| | |
|--------------------|------------------------|
| α | Alpha |
| β | Beta |
| cm | Centimeter |
| $^{\circ}\text{C}$ | Degree Celsius |
| K_a | Dissociation Constant |
| g | Gram |
| g/L | Gram per liter |
| ΔH_c | Heat of Combustion |
| d_{max} | Maximum Diameter |
| mg | Milligram |
| mL | Milliliter |
| mm | Millimeter |
| mmHg | Millimeter of Mercury |
| d_{min} | Minimum Diameter |
| min | Minutes |
| C_p | Specific Heat |
| SF | Sphericity Factor |
| d_{max} | Maximum diameter |
| d_{min} | Minimum diameter |
| mg/L | Miligram per liter |
| rev/min | Revolution per minutes |
| cP | Centipoises |
| mPa.s | MilliPascal-seconds |

Kajian Tentang Penggunaan Kapsul Berteras Cecair Kalsium Karbonat Untuk Mengawal pH Dalam Penapaian Bakteria Asid Laktik

ABSTRAK

Kajian ini menyelidik tentang kapsul teras cecair yang mengandungi kalsium karbonat dan carboxyl methyl cellulose (CMC) yang dikelilingi oleh membran alginat. Aplikasi penggunaan kapsul teras cecair meliputi banyak industri. Produk terkumpul di dalam proses penapaian akan menyebabkan populasi bakteria semakin berkurang dan menurunkan pH. Oleh itu, penggunaan kapsul teras cecair diperkenalkan untuk mengawal pH dalam proses penapaian. Objektif penyelidikan adalah menganalisa kriteria kapsul teras cecair (diameter, ketebalan membran). Selain itu, kualiti kapsul untuk mengawal pH dalam penapaian diuji melalui kadar kepekatan kalsium karbonat, nisbah isipadu kapsul teras cecair dalam media, kekerapan penggunaan kapsul teras cecair dan kelikatan media. Objektif terakhir ialah menganalisa kebolehan penggunaan kapsul teras cecair di dalam proses penapaian bakteria asid laktik. Penyelidikan ini menunjukkan kapsul teras cecair yang bersaiz kecil dan nipis (diameter 3.18 mm; ketebalan membran 0.49 mm) telah mencapai pH yang tertinggi, 6.92 berbanding dengan yang bersaiz besar dan tebal (diameter 8.35 mm; ketebalan membran 1.99 mm) mencapai pH 6.72 selepas 24 jam. Selain itu, kepekatan kalsium karbonat, 40 %(w/v) dan nisbah isipadu kapsul teras cecair dalam isipadu media, 1:3 dipilih untuk kajian penapaian bakteria asid laktik. Kemudian, penyelidikan penggunaan kapsul yang sama berulang kali, menunjukkan ia boleh digunakan sebanyak lebih daripada 2 kali dan mencapai pH target ($> \text{pH } 4$). Kesan kapsul teras cecair terhadap kelikatan media ($\leq 1090 \text{cP.s}$) mampu mengawal pH. Tambahan pula, penggunaan kapsul teras cecair ini juga dapat membantu mengawal pH berbanding menggunakan serbuk kalsium karbonat secara langsung di dalam proses penapaian bakteria asid laktik. Begitu juga, mampu meningkatkan dan mengawal kepekatan daya hidup sel dengan baik. Hal sedemikian, dengan menjalankan proses penapaian berskala besar juga didapati ia memberi keputusan yang baik dan seakan sama. Justeru, penggunaan kapsul teras cecair boleh mengawal pH di dalam media-media yang diselidik menunjukkan ia berjaya mencapai aras pH yang dikehendaki. Begitu juga, pengawalan pH mampu meningkatkan kepekatan daya hidup sel dan penghasilan laktik asid pada akhir penapaian bakteria asid laktik.

Studies On Calcium Carbonate Loaded Liquid Core Capsule As A pH Controller In Lactic Acid Fermentation

ABSTRACT

Liquid-core capsule composed of calcium carbonate (CaCO_3) and carboxyl methyl cellulose (CMC) as inner liquid-core surrounded by a cross-linked alginate membrane was prepared in this study. Liquid-core capsules have been widely used in various industrial applications. The performance of fermentation medium is affected by the accumulation of product(s) in the medium which will reduce the pH that may inhibit the growth of the fermenting microbes. The use of liquid-core capsules to control pH of fermentation medium is a new approach. The objectives of this research were to analyse the effect of liquid-core capsule properties (i.e. diameter, membrane thickness) on the efficiency of pH control, and process variables (i.e. calcium carbonate loading, capsule to solution volume ratio, capsule reusability, and medium viscosity) on the efficiency of the capsules to control pH of fermentation medium. Lastly, to evaluate the effect of liquid core capsules properties on the fermentation kinetics of lactic acid bacteria (LAB). The results showed the smallest and thinnest capsules (diameter 3.18mm and membrane thickness 0.49mm) could achieve pH 6.92 which is higher than that (only reached pH 6.72) of the largest and thickest capsules (diameter 8.35mm and membrane thickness 1.99mm) after 24 hours. Therefore, 40% (w/v) of CaCO_3 loading and 1:3 ratio to LA solution volume was selected for LAB fermentation study. Then, the capsule reusability test showed the capsule was can used up to two runs with the pH above 4. The effect of capsule in the medium viscosity (up to 1090cP.s) was capable to control the pH at the desired pH range ($> \text{pH } 4$). In LAB fermentation, pH of fermentation was well controlled by the capsule and the results were comparable to those of free CaCO_3 . Then, the viable cell concentration was well maintained by the capsule. Similar results were obtained when the large scale fermentation was conducted. In short, the pH regulator capsules were capable to control the pH of the tested solutions at the desired level. In order to improve the viable cell concentration and lactic acid production at the end of the LAB fermentation.

CHAPTER 1

INTRODUCTION

1.1 Background of study

Lactic acid bacteria (LAB) are gram-positive, non-sporing, and usually non-motile facultative anaerobes, thus making the strict exclusion of air unnecessary (Altiok, 2004). LAB are classified into 3 groups based on their metabolism of sugars; Group 1 species are obligate homofermenters of hexose to lactate but do not ferment pentoses. Group 2 species are facultative which heterofermenters of hexose to lactate using the glycolysis pathway and pentoses to lactate and acetate using the phosphoketolase pathway. Under glucose limitation, group 2 species heterofermenters of hexose to lactate, acetate, ethanol and formate. Group 3 species are obligate heterofermenters of sugars (Zelal, 2009; Altiok, 2004).

LAB species such as *Leuconostoc*, *Streptococcus*, *Lactobacillus*, *Enterococcus*, *Aerococcus*, and *Pediococcus* (Chelule, Mokoena, & Gqaleni, 2010) are dominant microorganisms and considered as major contributors to the fermented food industry (Chelule *et al.*, 2010). The benefits of LAB in food fermentation practice include increasing food palatability by enhancing its aroma and flavor, improving food quality by increasing the amount of proteins and vitamins, enhancing the nutritional value and aid digestion of cereals which are normally nutritionally poor, confer preservative and detoxifying effects on food, enhance immunity and strengthen the body against pathogenic bacterial infections, and prolonged of fermented food shelf life (Chelule *et al.*, 2010; Wee *et al.*, 2006). Thus, LAB fermentation is not only a major economic

importance, but also a good human health. Example of LAB fermented foods in Asia include kimchi, tapai, tairu, cultured milk, yogurt, and pickled fruits and vegetables.

The LAB growth and productivity of a biological system is strongly influenced by the pH of the working medium (Huang *et al.*, 2003). The optimal pH range for LAB varies between pH 5 and 7 (Hetényi, Németh, & Sevelle, 2011). In order to control the pH of the system, several approaches have been introduced in the past. The pH of the biological systems can be controlled using a pH controller, using a separation process to remove the organic acid in the medium, manipulating the fermentation mode, and adding a pH buffer to regulate the medium pH.

Among the methods, the use of pH controller is the simplest approach but it is relatively expensive and requires advanced instrumentation. The separation process requires an expensive membrane and the separation is commonly carried out outside the fermenter, which increases the risk of contamination (Lee *et al.*, 2007). Furthermore, the continuous and fed batch fermentation modes are reported to be effective to control pH of the medium. However, the risk of contamination is higher when continuous mode is used. The use of fed batch mode is not economically feasible as it requires large volume of fermentation medium to adjust the medium pH (Lee *et al.*, 2007).

Generally, lactic acid is produced when bacteria break down glucose during the LAB fermentation. The high acid content yield during the process would lead to low pH level in the fermentation (i.e. pH 4) and this condition inhibits cell growth, enzymatic hydrolysis, and microbial activity in fermentation (Huang *et al.*, 2003). In the food industry, high acid content could alter the taste and quality of fermented foods. Therefore, controlling the pH of LAB fermentation process to within desired levels is

important to increase the quality of food and to maintain the population of beneficial micro-flora.

Encapsulation is defined as formation of capsules or beads by biopolymers where the active compound is surrounded by a continuous film or biopolymers coating (Lee *et al.*, 2005; Chan *et al.*, 2009). The core is protected within a continuous semi-permeable membrane (Lee *et al.*, 2005).

1.2 Problem Statement

In the fermentation of lactic acid, the role of pH control is crucial (Amrane and Prigent, 1994). The acidification of the fermentation broth caused by an increase in lactic acid production which in turn decrease growth of lactic acid bacteria. Current methods to control pH include the use of electrokinetic bioreactor, conventional electrodialysis, and using calcium carbonate, ammonium hydroxide or sodium hydroxide as a buffer.

Although the use of electrokinetic bioreactor equipped with pH controller was successfully implemented to control the pH, separate and concentrate the product from the fermentation broths (Li *et al.*, 2004), it is also expensive (Akerberg and Zacchi, 2000). The use of calcium carbonate, ammonium hydroxide or sodium hydroxide as a buffer method increases the complexity and cost of downstream processing because after fermentation, the product must be separated from the medium and the conversion of the salt to an organic acid is complicated because it involves precipitation and acidification using a mineral acid (sulfuric acid). Therefore, a simple, low cost and environmental friendly downstream processing for organic acid production is desirable (Li *et al.*, 2004).

In this study, liquid core pH buffers were encapsulated within a membrane of sodium alginate gel and are designed to replace current pH control methods. The pH buffer will be used to control the pH in the medium of the fermentation modes. Among the various techniques for immobilizing bioactive materials, calcium alginate gel encapsulation are commonly used, because the gelling process can be easily performed under mild conditions and alginate is an inert and non-toxic product (Koyama and Seki, 2003). This encapsulation technology is a new approach in controlling the pH of the biological system. It is inexpensive as they are formed using only different needle diameter syringes. The performance of the capsules to control pH in the LAB fermentation process is evaluated by considering capsule properties such as diameter, membrane thickness, and shape.

1.3 Objectives of Research

The objectives of the project are as following:

- i. To analyze the effect of liquid core capsules properties (i.e. diameter and membrane thickness) on the efficiency of pH control.
- ii. To quantify the effect of liquid core capsules properties on calcium carbonate (CaCO_3) loading, capsule to solution volume ratio, and capsule reusability on the efficiency of the capsules to control pH.
- iii. To determine the efficiency of the capsules to control pH of solutions of different viscosity.
- iv. To evaluate the effect of liquid core capsules properties on the fermentation kinetics of lactic acid bacteria.

1.4 Scope and Significance of Research

This research focus on the growth kinetics of LAB under controlled pH cultivation environment by studying key parameters of liquid core capsules with different properties. The parameters used in the LAB fermentation are liquid core capsule diameter, capsule membrane thickness, calcium carbonate loading, capsule volume to solution volume (C/S) ratio, reusability of liquid core capsule, and concentration of starch in broth.

1.5 Organization of Thesis

Chapter 1 gives an overall view and ideas of this project. It reveals the study background, problem statement, research objectives, and scope and significance of research. Chapter 2 reviews the encapsulation technology, importance of encapsulation, capsule formation methods, and capsule formation materials to produce liquid core capsules. A general discussion on lactic acid, lactic acid bacteria (LAB), and the selection of LAB strain are also presented. The problems faced by current pH controller technology and the strategies to control pH in LAB fermentation were also discussed. Chapter 3 covers the method and design of a simple extrusion dripping technique to encapsulate calcium carbonate (CaCO_3) within a semi-permeable polymeric alginate membrane. Several key factors including the effect of liquid core capsule diameter, capsule membrane thickness, calcium carbonate loading, capsule volume to solution volume ratio, capsule reusability test, and solution viscosity effect in controlling the pH of LAB fermentation are noted as well as the detailed in LAB fermentation and parameters design. In chapter 4, the results of the physical properties of the liquid core capsules and the preliminary studies are presented and discussed. The influence of liquid core capsule properties on the capability of the liquid core

capsules to regulate the pH of lactic acid solution are observed and reported. The results on the studies on the influence of liquid core capsule properties on the pH change of different viscous solutions are presented and discussed. The results of the lactic acid bacteria fermentation carried out using the pH regulator liquid core capsules are reported. Chapter 5 concludes the research outcome of the project and some of the prospect of the work in industry in future. Recommendations are also brought forward and highlighted for further studies.

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CHAPTER 2

LITERATURE REVIEW

This chapter reviews the encapsulation technology, and its importance, as well as liquid core capsule formation methods and materials. This chapter also discusses lactic acid in general including its background and chemical properties, application and production of lactic acid. A discussion on lactic acid bacteria (LAB), the selection of LAB strain depending on the isomer produced, and LAB growth kinetics are also presented in this chapter. Then, this chapter also reviews the problems faced by current pH control technologies and pH control strategies in LAB fermentation.

2.1 Encapsulation Technology

Encapsulation play important roles in various industries such as food, pharmacy, chemistry and even agriculture. Encapsulation is a process to protect a gaseous, liquid or solid active agent by surrounding it with a semi-permeable membrane (Blandino, Macias, & Cantero, 1999). In this process the conventional method of calcium alginate beads formation (entrapment) is reversed (Blandino *et al.*, 1999). A mixed of active ingredients is dropped into a continuously stirred solution of sodium alginate. The alginate liquid-core capsules are formed due to the cross-linking of the alginate and calcium ion (Keitaro and Minoru, 2004).

Encapsulation technology is used in cell immobilization as an alternative to entrapment process because it has no leakage and give higher cell loading (Park and Chang, 2000). It is also used in the biopharmaceutical industry to immobilize cell